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7590

04/09/2004

STALLMAN & POLLOCK LLP

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~~121 Spear Street~~
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Georgia K. Stith	(Depositor's name)
<i>Georgia K. Stith</i>	(Signature)
June 25, 2004	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/939,817	08/27/2001	Allan Rosencwaig	TWI-12810	2895

TITLE OF INVENTION: METHOD OF MONITORING THE FABRICATION OF THIN FILM LAYERS FORMING A DWDM FILTER

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO YES	\$1330 \$665	\$0	XXXX \$665	07/09/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
BROWN, KHALED	2877	356-369000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☒ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Stallman & Pollock LLP

2. _____

3. _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

THERMA-WAVE, INC.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

1250 Reliance Way, Fremont, CA 94539

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

☒ Issue Fee☐ Publication Fee☒ Advance Order - # of Copies 5

4b. Payment of Fee(s):

☒ A check in the amount of the fee(s) is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-1703 (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date) 06/25/2004

Michael A. Stallman (Reg. No. 29,444)

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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06/30/2004 HDEMESS2 00000027 09939817

01 FC:2501

02 FC:8001

665.00 OP

15.00 OP

TRANSMIT THIS FORM WITH FEE(S)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of

Allan Rosencwaig

Application No.: 09/939,817

Filed: August 27, 2001

For: METHOD OF MONITORING THE
FABRICATION OF THIN FILM
LAYERS FORMING A DWDM
FILTER

Confirmation No.: 2895

Group Art Unit: 2877

Examiner: K. Brown

SUBMISSION OF ISSUE FEE

353 Sacramento Street, Suite 2200
San Francisco, CA 94111
(415) 772-4900

M/S ISSUE FEE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Per the Notice of Allowance and Issue Fee Due which was mailed April 9, 2004, enclosed for filing in the above-captioned case are form PTOL-85b and a check for \$680.00 to cover the issue fee of \$665.00, and the payment of \$15.00 for ordering five (5) advanced copies of the patent upon issuance.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-1703, under Order No. TWI-12810.

A duplicate copy of this sheet is enclosed.

Respectfully submitted,

STALLMAN & POLLOCK LLP

Dated: June 25, 2004By: 

Michael A. Stallman (Reg. No. 29,444)

Attorneys for Applicant(s)

Atty Docket No.: TWI-12810

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 25, 2004.

STALLMAN & POLLOCK LLP

Dated: 06/25/2004By: 

Georgia K. Stith